

IEW

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application No.	10/724,321
		Filing Date	November 26, 2003
		First Named Inventor	Scott H. Robinson
		Art Unit	2186
		Examiner Name	To Be Determined
Total Number of Pages in This Submission	38	Attorney Docket Number	42P17409

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input checked="" type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Copies of 27 Cited References; and Return Receipt Postcard</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Farzad E. Amini, Reg. No. 42,261 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	July 9, 2004

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Margaux Rodriguez		
Signature		Date	July 9, 2004



FEE TRANSMITTAL for FY 2004

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known

Application Number	10/724,321
Filing Date	November 26, 2003
First Named Inventor	Scott H. Robinson
Examiner Name	To Be Determined
Art Unit	2186
Attorney Docket No.	42P17409

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES

Total Claims - 33 = X =
Independent Claims - 4 = X =
Multiple Dependent =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

**or number previously paid, if greater, For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Farzad E. Amini

Registration No.
(Attorney/Agent)

42,261

Telephone

(310) 207-3800

Signature

Farzad E. Amini

Date

07/09/04



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Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Farzad E. Amini	Registration No. (Attorney/Agent)	42,261	Telephone	(310) 207-3800
Signature		Date	07/09/04		

Based on PTO/SB/17 (10-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wtr) 02/10/2004.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SCOTT H. ROBINSON, ET AL.

Application No.: 10/724,321

Filed: November 26, 2003

For: **ACCESSING PRIVATE DATA ABOUT
THE STATE OF A DATA PROCESSING
MACHINE FROM STORAGE THAT IS
PUBLICLY ACCESSIBLE**

Art Group: 2186

Examiner: To Be Determined

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

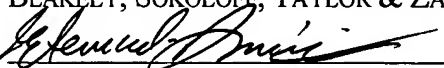
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: July 09, 2004


Farzad E. Amini, Reg. No. 42,261

12400 Wilshire Boulevard, 7th Floor
Los Angeles, CA 90025
Telephone: (310) 207-3800

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Margaux Rodriguez

07-09-04

Date

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number

10/724,321

Filing Date

November 26, 2003

First Named Inventor

Espinosa, Gustavo

Group Art Unit

Unknown

Examiner Name

Unknown

Sheet 1 of 5

Attorney Docket No: 42P17409**US PATENT DOCUMENTS**

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-20010027511	10/04/2001	Wakabayashi, Masaki, et al.			03/14/2001
	US-20020007456	01/17/2002	Peinado, Marcus, et al.			03/27/1999
	US-20020023032	02/21/2002	Pearson, Siani L., et al.			08/17/2001
	US-20020147916	10/10/2002	Strongin, Geoffrey S., et al.			04/04/2001
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	US-20020169717	11/14/2002	Challener, David C.			05/09/2001
	US-20030074548	04/17/2003	Cromer, Daryl C., et al.			10/16/2001
	US-20030115453	06/19/2003	Grawrock, David W.			12/17/2001
	US-20030126442	07/03/2003	Glew, Andrew F., et al.			12/31/2001
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	US-20030159056	08/21/2003	Cromer, Daryl C., et al.			02/15/2002
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	US-3,699,532	10/17/1972	Schaffer, Harry G., et al.			04/27/1970

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number

10/724,321

Filing Date

November 26, 2003

First Named Inventor

Espinosa, Gustavo

Group Art Unit

Unknown

Examiner Name

Unknown

Sheet 2 of 5

Attorney Docket No: 42P17409

	US-4,207,609	06/10/1980	Luiz, Fernando A., et al.			05/08/1978
	US-4,319,233	03/09/1982	Matsuoka, Michihiro , et al.			11/28/1978
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	US-5,604,805	02/18/1997	Brands, Stefanus A.			02/09/1996
	US-5,633,929	05/27/1997	Kaliski, Jr., Burton S.			09/15/1995
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	US-5,684,948	11/04/1997	Johnson, James S., et al.			11/01/1995
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	US-5,740,178	04/14/1998	Jacks, Steven A., et al.			08/29/1996
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	US-5,809,546	09/15/1998	Greenstein, Paul G., et al.			05/23/1996
	US-5,825,880	10/20/1998	Sudia, Frank W., et al.			01/13/1994
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	US-5,935,242	08/10/1999	Madany, Peter W., et al.			10/28/1996
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	US-6,035,374	03/07/2000	Panwar, Ramesh , et al.			06/25/1997
	US-6,093,213	07/25/2000	Favor, John G., et al.			05/16/1996

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

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First Named Inventor

Espinosa, Gustavo

Group Art Unit

Unknown

Examiner Name

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Sheet 3 of 5

Attorney Docket No: 42P17409

	US-6,108,644	08/22/2000	Goldschlag, David M., et al.			02/19/1998
	US-6,131,166	10/10/2000	Wong-Isley, Becky			02/24/1999
	US-6,199,152	03/06/2001	Kelly, Edmund J., et al.			08/22/1996
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	US-6,560,627	05/06/2003	McDonald, Michael F., et al.			01/28/1999
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	US-6,615,278	09/02/2003	Curtis, Bryce A.			03/29/1999
	US-6,651,171	11/18/2003	England, Paul, et al.			04/06/1999
	US-6,678,825	01/13/2004	Ellison, Carl M., et al.			07/18/2000
	US-6,684,326	01/27/2004	Cromer, Daryl C., et al.			03/31/1999

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
	EP-0892521	01/20/1999	Zamek, Steven			
	EP-1055989	11/29/2000	Proudlar, Graeme J., et al.			
	EP-1056014	11/29/2000	Proudlar, Graeme J., et al.			
	WO-0201794	01/03/2002	Ellison, Carl			
	WO-02060121	08/01/2002	Grawrock, David W.			
	WO-03058412	07/17/2003	Glew, Andrew, et al.			
	WO-9812620	03/26/1998	Nishiuchi, Taiki, et al.			
	WO-9918511	04/15/1999	Edrich, David R.			
	WO-9965579	12/23/1999	Bond, Eugene T.			

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	Filing Date November 26, 2003
	First Named Inventor Espinosa, Gustavo
	Group Art Unit Unknown
	Examiner Name Unknown
Sheet 4 of 5	Attorney Docket No: 42P17409

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		COULOURIS, GEORGE, et al., "Distributed Systems, Concepts and Designs", 2nd Edition, (1994), 422-424	
		CRAWFORD, JOHN, "Architecture of the Intel 80386", <u>Proceedings of the IEEE International Conference on Computer Design: VLSI in Computers and Processors (ICCD '86)</u> , (October 6, 1986), 155-160	
		FABRY, R.S., "Capability-Based Addressing", <u>Fabry, R.S., "Capability-Based Addressing," Communications of the ACM, Vol. 17, No. 7, (July 1974), 403-412</u>	
		FRIEDER, GIDEON, "The Architecture And Operational Characteristics of the VMX Host Machine", <u>The Architecture And Operational Characteristics of the VMX Host Machine, IEEE, (1982), 9-16</u>	
		HP MOBILE SECURITY OVERVIEW, "HP Mobile Security Overview", (Sept. 2002), 1-10	
		IBM CORPORATION, "IBM ThinkPad T30 Notebooks", <u>IBM Product Specification, located at www-1.ibm.com/services/files/cisco_t30_spec_sheet_070202.pdf</u> , last visited June 23, 2004, (July 2, 2002), 1-6	
		INTEL CORPORATION, "IA-64 System Abstraction Layer Specification", <u>Intel Product Specification, Order Number 245359-001, (01/2000), 1-112</u>	
		INTEL CORPORATION, "Intel 82802AB/82802AC Firmware Hub (FWH)", <u>Intel Product Datasheet, Document Number 290658-004, (November 2000), 1-6, 17-28</u>	
		INTEL CORPORATION, "Intel IA-64 Architecture Software Developer's Manual", <u>Volume 2: IA-64 System Architecture, Order Number 245318-001, (01/2000), i, ii, 5.1-5.3, 11.1-11.8, 11.23-11.26</u>	
		MENEZES, ALFRED J., et al., "Handbook of Applied Cryptography", <u>CRC Press Series on Discrete Mathematics and its Applications, Boca Raton, FL, XP002165287, ISBN 0849385237, (Oct. 1996), 403-405, 506-515, 570</u>	
		NANBA, S., et al., "VM/4: ACOS-4 Virtual Machine Architecture", <u>VM/4: ACOS-4 Virtual Machine Architecture, IEEE, (1985), 171-178</u>	
		RSA SECURITY, "Hardware Authenticators",	

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Group Art Unit

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Sheet 5 of 5

Attorney Docket No: 42P17409**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	✓	www.rsasecurity.com/node.asp?id=1158 , 1-2	
	✓	RSA SECURITY, "RSA SecurID Authenticators", www.rsasecurity.com/products/secuid/datasheets/SID_DS_0103.pdf , 1-2	
	✓	RSA SECURITY, "Software Authenticators", www.rsasecurity.com/node.asp?id=1313 , 1-2	
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EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached